

PATENT  
ATTORNEY DOCKET NO. 0055/057001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hiroaki MATSUMURA                  Art Unit:  
Application No.: filed concurrently        Examiner:  
Filed : November 25, 2003  
Title : RIDGE WAVEGUIDE SEMICONDUCTOR LASER DIODE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to his duty of good faith and candor as set forth in 37 CFR 1.56(a), applicant requests that the enclosed reference cited on attached form PTO-1449 be considered by the Examiner in connection with the search of the prior art required by 37 CFR 1.104.

Please note that Japanese Patent Publication No. 2000-58965 is discussed on page 2 of the specification. An English language abstract of this Japanese reference is also enclosed.

This Statement is being filed under 37 CFR 1.97(b) prior to the mailing date of a first Office Action on the merits.

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicant's undersigned attorney may be reached at the telephone number listed below.

Respectfully submitted,

  
Randolph A. Smith  
Reg. No. 32,548

Date: November 25, 2003

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Substitute for form 1449A/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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Sheet

1 of 1

**Complete if Known**

Application Number	Filed concurrently
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Applicants	Hiroaki MATSUMURA
Group Art Unit	
Examiner Name	
Attorney Docket Number	0055/057001

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

**EXAMINER**

**DATE CONSIDERED**

\*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number, document, by the two-letter code (WIPO Standard ST.3). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached. <sup>3</sup>Enter Office that issued the serial number of the patent document. <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

<sup>8</sup>Applicant is to place a check mark here if English language Translation is attached.

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